IFW 3747



PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Reiner HEIGL

Appln. No.: 10/536,682

Filed: November 10, 2005 Art Unit: 3747

For: VALVE SEAT AND METHOD FOR PRODUCING A VALVE SEAT

Attorney Docket No.: 3926.176 Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)
I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 op, June 29, 2006.

**I** Reg. No. 56,150

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of an International Search Report (ISR) and an International Preliminary Examination Report (IPER) issued in the corresponding PCT application as well as a German Examination Report are provided herewith.

{WP316599;1}

Application No: 10/536,682 Information Disclosure Statement

Attorney Docket No: 3926.176

- 1. US 4,723,518
- 2. US 5,586,530
- 3. GB 2 002 849
- 4. US 4,530,322
- 5. US 4,836,848
- 6. US 2001/0001641 A1
- 7. US 4,606,883
- 8. US 4,485,147
- 9. US 6,397,464
- 10. GB 1 290 986

A concise explanation of relevancy for each non-English document cited in the ISR is provided below:

## Document 3

It is indicated in the ISR that page 1, lines 5- & lines 66-109 and Fig. 1 of document 3 are "X" and "A" category relevant to claims 1, 6, 7, and 10-12 of the present invention, respectively.

# **Document 6**

Document 6 is an English language equivalent application of EP 0 939 139 A cited in the ISR. It is indicated in the ISR that paragraphs '0001!, '0010!, '0022! of EP 0 939 139 A is "Y" and "A" category relevant to claims 8 and 13-14 of the present invention, respectively.

## Document 9

Document 9 is an English language equivalent application of DE 199 12 889 A1 cited in the German Examination Report.

{WP316599;1}

Application No: 10/536,682 Information Disclosure Statement

Attorney Docket No: 3926.176

Document 10

Document 10 is an English language equivalent application of CH 513 325 A cited in the

German Examination Report.

The present Information Disclosure Statement is being filed before the mailing date of

the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee

under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

and be made of record in the present application and that an initialed copy of Form PTO-1449 be

returned in accordance with MPEP §609.

Date: June 29, 2006

Respectfully submitted,

Yonghong Chen

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

a collection of information unless it contains a valid OMB control number 1995, no persons are required to respond to Complete If Known Substitute for form 1449/PTO **Application Number** 10/536,682 INFORMATION DISCLOSURE Filing Date November 10, 2005 STATEMENT BY APPLICANT Reiner HEIGL First Named Inventor Art Unit **Examiner Name** (use as man sheets as necessary)

# Attorney Docket No. **U.S. PATENT DOCUMENTS**

3926.137

	Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (If Known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
6	- HAR	\	US- 4,723,518	02-09-1988	KAWASAKI ET AL.	C. 1, I. 8-13; C. 4, I. 9-12; C. 6, I. 65 – C. 7, I. 15; C. 9, I. 55 – C. 10, I. 31; Figs. 1-3
\	101 0 3 500g	\$//·	US- 5,586,530	12-24-1996	ADACHI ET AL.	C. 1, I. 7-9; C. 6, I. 56-58; C. 7, I. 37-41; C. 7, I. 5-29; C. 7, I. 65 – C. 8, I. 22; Figs. 13D, 16, 17
A.	BOTO TRACE		US- 4,530,322	07-23-1985	YAMADA ET AL.	C. 1, I. 47-50; C. 2, I. 62-65; C. 3, I. 16-18; C. 23, I. 66 – C. 4, I. 12; C. 6, I. 61 – C. 7, I. 14; C. 7, I. 26-32 & I. 43-54
			US- 4,836,848	06-06-1989	MAYAMA ET AL.	C. 1, I. 45-51
			US- 2001/0001641 A1	05-24-2001	NINOMIYA ET AL.	See the letter
			US- 4,606,883	08-19-1986	WIZEMANN ET AL.	C. 1, I. 5-9; C. 2, I. 3-25
			US- 4,485,147	11-27-1984	NISHINO ET AL.	C. 1, I. 9-12; C. 2, I. 19-36
			US- 6,397,464	06-04-2002	HEIGL ET AL.	See the letter

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
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#### **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>5 (if</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
		GB 2 002 849	02-28-1979	MASCHF AUGSBURG NUERNBERG AG	See the letter	Ν
		GB 1 290 986	09-27-1972	SULZER BROTHERS LIMITED	See the letter	N
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1 of 1